

證券交收指示表格

Securities Settlement Instruction Form (S.I. / I.S.I.)

客戶姓名 Account Name:	賬戶號碼 Account No.:	<input type="checkbox"/> 現金賬戶 Cash Account <input type="checkbox"/> 保證金賬戶 Margin Account <input type="checkbox"/> 債券賬戶 Bonds Account		
<input type="checkbox"/> 請安排收取下列證券並存入本人/吾等之證券戶口 RECEIVE the following securities for my/our securities account				
<input type="checkbox"/> 請由本人/吾等之證券戶口提取下列證券並安排交付 DELIVER the following securities from my/our securities account				
交收對手名稱: Name of Counterparty / Broker:				
交收對手編號: Counterparty / Broker Settlement ID:				
交收對手聯絡人及電話號碼: Counterparty / Broker Contact Person & Tel No:				
<input type="checkbox"/> 貨銀對付交收 Delivery Against Payment				
<input type="checkbox"/> 無需付款交收 Free of Payment				
股票 / 國際證券號碼 Stock / ISIN Code	證券名稱 Securities Name	證券數目 Quantity of Securities	幣種 Currency	交收金額 Settlement Amount
備註Remarks:				

客戶簽署 Client's Signature: _____ 日期 Date: _____
 (請用留存本公司簽名/印鑑簽署 Signature(s)/Chop(s) must be valid against our record)

注Note:
 1. 於交易日正午12:00前收到的指示, 本公司會即日處理; 正午12:00後收到的指示, 不保證能於當天處理。
 Instructions received before 12:00 noon will be handled on the same day; otherwise we do not guarantee that your instructions will be handled on the same day.

(祇供內部使用 For Internal Use Only)			
經辦:	覆核客戶簽名樣式:	批核:	
Clearing System錄入:	Clearing System批核:	系統錄入:	系統批核: