

實物股票存入 / 提取 / 內部轉賬指示

Physical Scrip Deposit / Withdrawal / Internal Transfer Instructions

客戶姓名 Account Name:	賬戶號碼 Account No.:	<input type="checkbox"/> 現金賬戶 Cash Account <input type="checkbox"/> 保證金賬戶 Margin Account
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本人/吾等現要求 貴公司:

I/We hereby instruct your company to:

<input type="checkbox"/>	將下列實物股票存入本人/吾等的證券賬戶。 DEPOSIT the following physical scrip into my/our securities account. 注Note: 1. 實物股票需於存入中央結算當日後第十個工作天方可沽出。 Physical scrip can only be sold after 10 working days after depositing into CCASS. 2. 經由中央結算系統存入之股票需受中央結算公司之規則及條例所約束。 Stock deposited into CCASS shall be subject to the rules and regulations of HKSCC. 3. 截數時間: 於交易日 正午12:00前 。 Cut-off time: Instructions before 12:00 noon .				
<input type="checkbox"/>	將下列股票從上述本人/吾等的證券賬戶提出。 WITHDRAW the following stocks from the above account and deliver to me/us. 注Note: 1. 當客戶所指示提取之股票準備妥當, 本公司將以電話通知客戶前往本公司提取。客戶必須在收到通知後一星期內親臨或授權第三者到本公司領取股票實貨, 如客戶未能在指定時間內領取實物股票, 本公司將會把有關之股票退回中央結算系統證券存管處, 當客戶再次提取股票時必須再次填寫本表格和支付實物股票提取費用。 Our Company will contact the client by phone to collect the physical scrip at instructed place when the physical scrip is ready. Client must collect in person or authorize a third party to collect the physical scrip at instructed place within One Week thereafter. If client cannot pick up the physical scrip within the stipulated period, our company will re-deposit the scrip into CCASS. Handling fee will be charged again when client makes another physical scrip withdrawal instruction for the re-deposited stock(s). 2. 提取實物股票時請帶同此表格之正本及必須出示有效之身份證/護照。如客戶授權第三者提取實物股票, 第三者另需出示「 提取實物股票授權信 」之正本, 連同客戶有效之身份證/護照的副本。 Please bring the original of this form and show valid ID card/passport for verification. If the client authorizes a third party to collect the physical scrip, the third party should provide the original Authorization Letter for Collecting Physical Scrip and a copy of the client's valid ID card/passport . 3. 本公司概不保證客戶之分拆申請可獲全數接納, 被獲接納之申請可能為多張不同面額之股票。 There is no guarantee that the instruction of stock denomination from client will be fully accepted. The accepted instruction may be divided into different scrips with different denomination. 4. 經由中央結算系統提取之股票需受中央結算公司之規則及條例所約束。 Stocks withdrawn from CCASS shall be subject to the rules and regulations of HKSCC. 5. 截數時間: 於交易日 正午12:00前 。 Cut-off time: Instructions before 12:00 noon .				
<input type="checkbox"/>	由上述本人/吾等的證券賬戶 內部轉賬 到本人/吾等的其他賬戶 (如第三者轉賬, 需經批核): INTERNAL TRANSFER the following stocks from the above account to my/our account (if third party transfer, approval is required): _____ (<input type="checkbox"/> 現金賬戶 Cash Account / <input type="checkbox"/> 保證金賬 Margin Account). 注Note: 1. 截數時間: 於交易日 下午4:30前 。 Cut-off time: Instructions before 4:30pm .				
	股票/國際證券號碼 Stock/ISIN Code	股票名稱 Stock Name	證券號碼 Certificate No	股份數目 Number of Shares	備註 Remarks

客戶簽署

Client's Signature:

日期

Date:

(請用留存本公司簽名印鑑簽署 Signature(s)/Chop(s) must be valid against our record)

茲收到上列股票 I acknowledge receipt of the above share certificate(s) Recipient's Signature 收票人簽署	(祇供內部使用 For Internal Use Only)			
	經辦: :	確認(如適用): 備註:	覆核客戶簽名樣式:	批核:
	T/D HK\$ _____ to be debited from client's account.			
	CCASS錄入:	CCASS批核:	系統錄入:	系統批核: