



證券交收指示表格

Securities Settlement Instruction Form (S.I. / I.S.I.)

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|--|-------------------------|--|--|---------------------------|--|
| 客戶姓名 Account Name: | 賬戶號碼 Account No.: — | <input type="checkbox"/> 現金賬戶 Cash Account <input type="checkbox"/> 保證金賬戶 Margin Account <input type="checkbox"/> 債券賬戶 Bonds Account | | | |
| <input type="checkbox"/> 請安排收取下列證券並存入本人/吾等之證券戶口 RECEIVE the following securities for my/our securities account | | | <input type="checkbox"/> 請由本人/吾等之證券戶口提取下列證券並安排交付 DELIVER the following securities from my/our securities account | | |
| 交收對手名稱: Name of Counterparty / Broker: | | | | | |
| 交收對手編號: Counterparty / Broker Settlement ID: | | | | | |
| 交收對手聯絡人及電話號碼: Counterparty / Broker Contact Person & Tel No: | | | | | |
| <input type="checkbox"/> 貨銀對付交收 Delivery Against Payment | | | <input type="checkbox"/> 無需付款交收 Free of Payment | | |
| 股票 / 國際證券號碼 Stock / ISIN Code | 證券名稱 Securities Name | 證券數目 Quantity of Securities | 幣種 Currency | 交收金額 Settlement Amount | |
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| 備註Remarks: | | | | | |
| | | | | | |

客戶簽署

Client's Signature: _____

日期

Date: _____

(請用留存本公司簽名/印鑑簽署 Signature(s)/Chop(s) must be valid against our record)

注Note:

1. 於交易日正午12:00前收到的指示, 本公司會即日處理。正午12:00後收到的指示, 不保證能於當天處理。

Instructions received before 12:00 noon will be handled on the same day; otherwise we do not guarantee that your instructions will be handled on the same day.

(祇供內部使用 For Internal Use Only)

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| 經辦: | 覆核客戶簽名樣式: | 批核: |
| Clearing System錄入: | Clearing System批核: | 系統錄入: |
| | | 系統批核: |